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PATENT

Atty. Docket No.: 12/31/01

CIBT-P01-520

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Sampath et al.

Serial No.: 09/581,770

Group Art Unit: 1645

Filed: December 29, 2000

Examiner: Not Yet Assigned

Title: METHODS FOR MAINTAINING OR RESTORING
TISSUE-APPROPRIATE PHENOTYPE OF SOFT TISSUE CELLSCERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231.

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Anna P. Lucey

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Submitted herewith on Form PTO-1449 is a list of documents known to Applicants, their Agent and/or Attorney in compliance with the requirements of 37 C.F.R. 1.56. A copy of each document listed is also being submitted herewith.

This Information Disclosure Statement is being filed before the mailing of the first office action on the merits; therefore, no fee is due.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO-1449.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there are any fees due in connection with the filing of this Statement, please charge the fees to our **Deposit Account, No. 18-1945.**

Respectfully submitted,
Ropes & Gray

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INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
(Use several sheets if necessary)

Docket Number (Optional)
CBT-P01-520

Application Number
09/581770

Filing Date
December 29, 2000

Art Unit
1645

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 5,674,844	10/7/97	Kuberasampath et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	AB WO 95/33502	12/14/95	PCT				
	AC WO 96/26737	9/6/96	PCT				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

AD	Abdollah, Shirin et al. <i>J. Biol. Chem.</i> 272, 27678-27685 (31 October 1997).
AE	de Caestecker, Mark P. et al. Characterization of Functional Domains within Smad4/DPC4. <i>J. Biol. Chem.</i> 272, 13690-13696 (23 May 1997).
AF	de Winter, Johan P. et al. <i>Oncogene</i> 14, 1891-1899 (24 April 1997).
AG	Imamura, Takeshi et al. <i>Nature</i> 389, 622-626 (9 October 1997).
AH	Kretzschmar, Marcus et al. <i>Genes & Development</i> 11, 984-995 (1997).
AI	Lagna, Giorgio et al. <i>Nature</i> 383, 832-836 (31 October 1996).
AJ	Liu, Fang et al. <i>Genes & Development</i> 11, 3157-3167 (1997).
AK	Soucheinytskyi, Serhiy et al. <i>J. Biol. Chem.</i> 272, 28107-28115 (31 October 1997).
AL	Wrana, Jeffrey L. & Attisano, Liliana. <i>Trends in Genetics</i> 12, 493-496 (December 1996).
AM	Zhang, Ying et al. The tumor suppressor Smad4/DPC 4 as a central mediator of Smad function. <i>Current Biology</i> 7, 270-276 (19 March 1997).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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